



These examples describe the basic situations in PS-reporting.
The purpose of the examples is to illustrate reporting qualifications.

The batch record is always reported first, but it is not repeated in all the examples.
MT-record is not repeated in the examples if it doesn't play a big role in the example.

To simplify, small numbers are used in the examples. Integer numbers should be used in reporting.

If You have further questions, please contact ps@bof.fi.

Example 1. Customer has 2000 euro deposited in the PS-account. He wants to invest 1500 euro in Finnish investment fund shares. The subscription price of one fund unit is 1.5 euro. The management company charges a 20 euro subscription fee from the customer. At the end of the reporting period the market value of one investment fund unit is 1.7 euro.

The batch record contains general information on the record.

Before other records in the file can be validated, the batch record must pass the validation process.

Record type	000
Type of data provider's identifier	Y
Data provider's identifier	87456321
Survey code	PS
Type of data	P
Reporting period	2010Q02

The 20 euro subscription fee is reported in the MT record:

Record type	MT
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Total number of PS-contracts	1
Number of new PS-contracts	0
Number of discontinued PS-contracts	0
New savings	0
Payout to savers	0
Expenses (incl. taxes)	0.02

The funds invested in investment fund shares plus the expenses (1500 + 20) are charged from the PS account.

This is reported as a negative flow in the ST record:

Record type	ST
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Transaction	F
Instrument	221
Country code	FI
Transfer	N
Value of transaction	-1.52

The total amount of funds in the PS account at the end of the reporting period (2000 - 1520) is reported in the ST record:

Record type	ST
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Transaction	S
Instrument	221
Country code	FI
Transfer	N
Value of transaction	0.48

The funds invested in investment fund shares (1500) are reported as a positive flow in the ST record:

Record type	ST
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Transaction	F
Instrument	52
Country code	FI
Transfer	N
Value of transaction	1.5

The total amount of funds invested in investment fund shares at the end of the reporting period (1000 x 1.7) is reported in the ST record:

Record type	ST
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Transaction	S
Instrument	52
Country code	FI
Transfer	N
Value of transaction	1.7

The valuation change of investment fund shares (1000 x (1.7 - 1.5)) is reported in the ST record:

Record type	ST
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Transaction	V
Instrument	52
Country code	FI
Transfer	N
Value of transaction	0.2

Example 2. Customer has 480 euro deposited in the PS-account. The market value of funds invested in investment fund shares is 1700 euro. The customer wants to sell 500 euro worth of fund units. The redemption price of one share is 2 euro. The management company charges a 5 euro redemption fee from the customer. At the end of the reporting period the market value of one investment fund share is 2.5 euro.

The batch record is reported as in example 1.

The 5 euro redemption fee is reported in the MT record:

Record type	MT
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Total number of PS-contracts	1
Number of new PS-contracts	0
Number of discontinued PS-contracts	0
New savings	0
Payout to savers	0
Expenses (incl. taxes)	0.005

The redemption of investment fund shares creates a positive flow (500) to the PS account and the redemption fee a negative flow (-5). The net flow is reported in the ST record:

Record type	ST
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Transaction	F
Instrument	221
Country code	FI
Transfer	N
Value of transaction	0.495

The total amount of funds in the PS account at the end of the reporting period (480 + 495) is reported in the ST record:

Record type	ST
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Transaction	S
Instrument	221
Country code	FI
Transfer	N
Value of transaction	0.975

The redemption of investment fund units is reported as a negative flow in the ST record:

Record type	ST
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Transaction	F
Instrument	52
Country code	FI
Transfer	N
Value of transaction	-0.5

The total amount of funds invested in investment fund shares at the end of the reporting period (750 x 2.5) is reported in the ST record:

Record type	ST
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Transaction	S
Instrument	52
Country code	FI
Transfer	N
Value of transaction	1.875

The valuation change of investment fund shares (750 x (2.5 - 2)) is reported in the ST record:

Record type	ST
Type of reporter's identifier	Y
Reporter's identifier	12345671
Reporter's name	Bank ABC
Transaction	V
Instrument	52
Country code	FI
Transfer	N
Value of transaction	0.325